### 0321 068199

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brad Calder et al.

Serial No.: 10/659,066 Patent No.: 7,802,236

Conf. No.: 3820

Filed: September 9, 2003

Issued: September 21, 2010

For: METHOD AND APPARATUS FOR IDENTIFYING SIMILAR REGIONS OF

A PROGRAM'S EXECUTION

Art Unit: 2191

Examiner: Rongfa Philip Wang

# REQUEST FOR CERTIFICATE OF CORRECTION UNDER RULE 1.323

Certificate of Corrections Branch Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. 1.323, patentees, through their attorneys, respectfully request that a Certificate of Correction be issued in the above-referenced patent to correct the errors listed below. This correction is properly addressable by a Certificate of Correction for at least the reasons set out in the Remarks below.

# On the Patent Face:

Under "Prior Publication Data", please insert:

--Related U.S. application to provisional applications No. 60/409,105 filed on September 9, 2002 and No. 60/409,106 filed on September 9, 2002.--

# In the Specification:

Before "Field of the Invention", please insert:

# -- PRIORITY CLAIM

--Applicants claim the benefit of U.S. provisional patent applications No. 60/409,105 filed on September 9, 2002 and No. 60/409,106 filed on September 9, 2002 under 35 U.S.C. §119.-- REMARKS

This correction adds Patentees previously-submitted specific reference to prior-

filed provisional applications to the first paragraph of the specification. The priority claim and

specific reference to the provisional applications were previously submitted by Applicants (e.g.,

in the "Inventor Declaration" as filed (copy attached)). Patentees priority claims were

recognized by the Patent Office (see original and updated filing receipts (copies attached)).

Further, the publication date (June 10, 2004) of the application that issued as the present patent is

based on an eighteen month window from the earlier priority dates of the provisional

applications (both filed Sept. 9, 2002) (see Notice of Publication, copy attached). Therefore,

Patentees submit that a Certificate of Correction is proper in this instance.

A Certificate of Correction incorporating the above-delineated change(s) is

enclosed herewith.

Patentees authorize the appropriate fee of \$100.00, pursuant to 37 C.F.R. § 1.20(a),

to be charged to Deposit Account No. 07-2069. The Commissioner is also authorized to charge

any additional fees to Deposit Account No. 07-2069.

Respectfully submitted,

GREER, BURNS & CRAIN, LTD.

Bv /ARIK B. RANSON/ Arik B. Ranson

Registration No. 43,874

Customer No. 24978 June 21, 2011 300 South Wacker Drive

Suite 2500 Chicago, Illinois 60606

Telephone: (312) 360-0080

Facsimile: (312) 360-9315

3

#### DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare:

That my residence, post office address and citizenship are as stated below next to my name.

That I verily believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and Joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR IDENTIFYING SIMILAR REGIONS OF A PROGRAM'S EXECUTION

the specification of which (check one)

| []  | is attached hereto.               |    |
|-----|-----------------------------------|----|
| [X] | was filed on September 9, 2003    | as |
|     | Application Serial No. 10/659,066 |    |
|     | and was amended on                |    |
|     | (if applicable)                   |    |

That I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

That I acknowledge the duty to disclose information known to be material to patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

That I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application on which priority is claimed:

### Prior Foreign Application(s)

Priority Claimed

| (Number) | (Country) | (Day/Month/Year Filed) | [ ]<br>Yes | []<br>No |
|----------|-----------|------------------------|------------|----------|
| (Number) | (Country) | (Day/Month/Year Filed) | Yes        | No<br>No |
| (Number) | (Country) | (Day/Month/Year Filed) | Yes        | No       |

That I hereby claim the benefit under Title 35, United States Code, \$119 and/or \$120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$11,000 and the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$11,000 and \$1,000 and

# United States Application(s)

| 60/409.105               | 9/9/2002      | Pending                                 |
|--------------------------|---------------|---|
| (Application Serial No.) | (Filing Date) | (Status)-(Patented, pending, abandoned) |
| 60/409,106               | 9/9/2002      | Pending                                 |
| (Application Serial No.) | (Filing Date) | (Status)-(Patented, pending, abandoned) |
| (Application Serial No.) | (Filing Date) | (Status)-(Patented, pending, abandoned) |

That all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.



Address for Correspondence:



That all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may joeopardize the validity of the application or any patent issuing thereon.

I hereby appoint the following attorneys and agents listed under Customer No. 24978, with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and request that all correspondence and telephone calls in respect to this application be directed to GREER, BURNS & CRAIN, LTD., Suite 2500, 300 South Wacker Drive, Chicago, Illinois 60606, Telephone No. (312) 360-0080.

Steven P. Fallon, Esq. GREER, BURNS & CRAIN, LTD. 300 S. Wacker Drive - Suite 2500 Chicago, Illinois 60606

|  | Fax: (312) 360-9315<br>Customer No.: 24978 |
|--|--|
| Full name of sole or one joint inventor:       | Brad Calder                                |
| Inventor's signature:                          | Brad Colde                                 |
| Date:  | 9/25/03                                    |
| Residence and Post Office Address:             | 6865 Robbins Court                         |
|  | San Diego. CA 92122                        |
|  |  |
| Citizenship:                                   | US   |
| Full name of additional oint inventor, if any: | Timothy Sherwood                           |
| inventor's signature:                          |  |
| Date:  |  |
| Residence and Post Office Address:             | 3980 Via Lucero, Apt_B                     |
|  | Santa Barbara, CA 93110                    |
|  |  |
| Citizenship:                                   | US   |
|  |  |



Address for Correspondence:



That all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Steven P. Fallon, Esq. GREER, BURNS & CRAIN, LTD. 300 S. Wacker Drive – Suite 2500

|   | Chicago, Illinois 60606<br>Tel: (312) 360-0080<br>Fax: (312) 360-9315<br>Customer No.: 24978 |
|---|--|
| Full name of sole or one joint inventor:        | Brad Calder  |
| Inventor's signature:                           |  |
| Date:   | ***************************************  |
| Residence and Post Office Address:              | 6865 Robbins Court   |
|   | San Diego, CA 92122  |
| Citizenship:                                    | US   |
| Full name of additional joint inventor, if any: | Timothy Sherwood   |
| Inventor's signature:                           | Tasks )  |
| Date:   | 9/24/03  |
| Residence and Post Office Address:              | 3980 Via Lucero, Ant. B  |
|   | Santa Barbara, CA 93110  |
|   |  |
| Citizenship:                                    | US   |





| Full name of additional<br>joint inventor, if any: | Erez Perelman        |
|--|----------------------|
| Inventor's signature:                              | leg Tend             |
| Date:  | 10/10/03             |
| Residence and Post Office Address:                 | 1554 Primrose Way    |
|  | Cupertino, CA 95014  |
| Citizenship:                                       | US                   |
| Full name of additional joint inventor, if any:    | Gregory Hamerly      |
| Inventor's signature:                              |                      |
| Date:  |                      |
| Residence and Post Office Address:                 | 15026 Hat Creek Road |
|  | Poway, CA 92064      |
| Citizenshin  | IIC                  |

| Full name of additional joint inventor, if any: | Erez Perciman        | *******   |  |
|---|----------------------|-----------|--|
| Inventor's signature:                           |                      |           |  |
| Date:   |                      |           |  |
| Residence and Post Office Address:              | 1554 Primrose Way    |           |  |
|   | Cupertino, CA 95014  | ******    |  |
|   | •                    | ******    |  |
| Citizenship:                                    | US                   |           |  |
| Full name of additional joint inventor, if any: | Gregory Hamerly      |           |  |
| Inventor's signature:                           | Dregoey Hamerly      |           |  |
| Date:   | October 2, 2003      | ·         |  |
| Residence and Post Office Address:              | 15026 Hai Creek Road | ******    |  |
|   | Poway, CA 92064      | distance. |  |
|   |                      |           |  |
| Citizenshin:                                    | . 170                |           |  |



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Tendermark Offices Address COMMISSIONER FOR PATENTS

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| APPL NO.   | (c) DATE   | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |  |
|------------|------------|----------|---------------|----------------|----------|----------|----------|--|
| 10/659.066 | 09/09/2003 | 2122     | 0.00          | 0321.68199     | 22       | 53       | 3        |  |

**CONFIRMATION NO. 3820** 

24978 GREER, BURNS & CRAIN 300 S WACKER DR 25TH FLOOR CHICAGO, IL 60606



Date Mailed: 12/02/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the date presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Patrs" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

# Applicant(s)

Brad Calder, San Diego, CA; Timothy Sherwood, San Diego, CA; Erez Perelman, La Jolla, CA; Gregory Hamerly, Poway, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/409,105 09/09/2002 and claims benefit of 60/409,106 09/09/2002

Foreign Applications

If Required, Foreign Filing License Granted: 12/02/2003

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

93 DEC -5 -77 DEC

Method and apparatus for identifying similar regions of a program's execution

Preliminary Class

717

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 of 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espinance and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls. Department of State (with respect to Arms, Munitions and Implements of War (22 OFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (ji)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# NOT GRANTED

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# JNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices

P.O. Box 1450 Alexandra, Vingisia 22313-1450

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|----------------|----------|----------|----------|
| 10/659,066 | 09/09/2003                | 2122     | 747           | 0321.68199     | 22       | 53       | 3        |

24978 GREER, BURNS & CRAIN 300 S WACKER DR 25TH FLOOR CHICAGO, IL 60606

CONFIRMATION NO. 3820 UPDATED FILING RECEIPT 

OC000000012029097\*

Date Mailed: 03/04/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Brad Calder, San Diego, CA: Timothy Sherwood, Santa Barbara, CA; Erez Perelman, Cupertino, CA; Gregory Hamerly, Poway, CA:

Assignment For Published Patent Application

The Regents of the University of California:

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/409,105 09/09/2002 and claims benefit of 60/409 106 09/09/2002

Foreign Applications

If Required, Foreign Filing License Granted: 12/02/2003

Projected Publication Date: 06/10/2004

Non-Publication Request: No

Early Publication Request: No

#### \*\* SMALL ENTITY \*\*

Title

Method and apparatus for identifying similar regions of a program's execution

#### **Preliminary Class**

717

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184. If the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15 (a) unless an earlier license is subject to revocation upon writen diffication. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to esplonage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Tade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (ii)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Veginia 22313-1450

APPLICATION NUMBER 10/659.066

FILING OR 371 (c) DATE 09/09/2003

FIRST NAMED APPLICANT Brad Calder

ATTY, DOCKET NO. 0321.68199

24978

CONFIRMATION NO. 3820.

\*OC000000012917501\*

GREER, BURNS & CRAIN 300 S WACKER DR 25TH FLOOR CHICAGO, IL 60606

Title: Method and apparatus for identifying similar regions of a program's execution

Publication No. US-2004-0111708-A1 Publication Date: 06/10/2004

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above

The publication may be accessed through the USPTO's publically available Searchable Databases via the internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office. Office of Public Records. Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at (703) 305-3028.

Customer Service Center Initial Patent Examination Division (703) 308-1202

PTO/SB/44 (02-01)

Approved for use through 91/31/2004 OME 6651-0333 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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(Also Form PTO-1899)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 7,802,236

DATED : September 21, 2010 INVENTOR(S) : Brad Calder et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

### On the Patent Face:

Under "Prior Publication Data", insert:

--Related U.S. application to provisional applications No. 60/409,105 filed on September 9, 2002 and No. 60/409,106 filed on September 9, 2002.--

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#### -- PRIORITY CLAIM

Applicants claim the benefit of U.S. provisional patent applications No. 60/409,105 filed on September 9, 2002 and No. 60/409,106 filed on September 9, 2002 under 35 U.S.C. §119.—

MAILING ADDRESS OF SENDER:

Steven P. Fallon GREER, BURNS & CRAIN, LTD. 300 South Wacker Drive. 25th Floor Chicago, IL 60606 PATENT NO 7,802,236

Page 1 of 1 0321.068199

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